

## CMA Bulletin

---

**To:** CMA MEMBERS  
**No:** BU112  
**Title:** T012.1 'Update Chargeable SPID Data'  
**Purpose:** For Information  
**Expiry date:** September 2016  
**Attachment:** No  
**From:** Lesley Donaldson  
**Date:** 13 January 2016

---

### 1. Introduction

This Bulletin has been prepared by the CMA, in response to a request from a Trading Party who has highlighted an issue regarding the T012.1 'Update Chargeable SPID Data'.

The issue identified is that the Effective From Date for the T012.1 update has, on occasion, been prior to the time from which the submitting LP actually became responsible for the particular SPID (and/or any associated SPIDs).

Such a submission is erroneous, since the Market Code and the CSDs require any data changes to be made only by the owning Trading Party. The CMA CS seeks to police this requirement by validating that the submitting LP is indeed the responsible LP for the identified SPID. However, the CMA Central Systems (CMA CS) validation does not currently check that this is the case from the Effective From Date for the change.

The following identifies a suggested work-round to mitigate this problem in the short-term and a longer term fix to the CMA CS. These fixes should also be applied to the T012.0 'Update SPID Data', for which the same issue exists, although this transaction does not involve chargeable data and has no potential for material impact.

## 2. Impacted SPIDS

A file will be provided to the contract manager of any LP affected by this issue which identifies all instances where a T012.0, or a T012.1 has been successfully submitted by the responsible LP, but where the Effective From Date for the associated change is on a date prior to that when the submitting LP became responsible for the SPID (and/or for any associated SPID), since Market Opening. It should be noted that, for these transactions, for data items other than the ones being changed, the value is NULL and no value will have been submitted.

For the T012.0 there are 80 cases in total and for the T012.1 this has occurred 339 times, but for the "RV" and "Vacancy" Data Items only. These have been split across two separate files.

Old LPs (i.e. those that were responsible for the identified SPIDs from the period between the submitted EFD in the T012.0 or T012.1 to the date of transfer to the LP responsible at the time of the T012.0 or T012.1 submission) should check these impacted Data Items and amend them via the usual Error Rectification or Retrospective Amendment process if required.

## 3. Workaround

In order to mitigate this issue, all LPs should adhere to the Market Code and include Effective From Dates in the T012.0 and T012.1 that are on or after any Registration Start Date on which they became the Licensed Provider for the SPID.

## 4. Enduring CMA CS Solution

Validation will be added to the T012.0 and T012.1 to ensure that they will only be accepted by the CMA CS for periods in which an LP is registered to a SPID. If an Effective From Date precedes that date from which the submitting LP is the registered LP, the Transactions will be rejected by the CMA CS and an appropriate Error code provided. It is anticipated this will be implemented in September 2016.

## 5. Enquiries

If you have any queries regarding this issue please follow the usual enquiries process [enquiries@cmascotland.co.uk](mailto:enquiries@cmascotland.co.uk)