

MARKET CODE/OPERATIONAL CODE CHANGE PROPOSAL

Draft Form Version 1.7

This section to be completed by the TP secretary, on behalf of the TP

Change Proposal Reference

MCCP088

Version Number

D.1
PART A – SUBMISSION
1. GENERAL DETAILS

a.	TITLE OF MCCP/OCCP	September Release CSD Drafting				
b.	COMPANY	CMA	ORG ID if assigned			
Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement						
c.	AUTHORISED SIGNATURE		NAME	Gary Craig		
d.	CONTACT NAME	Gary Craig	CONTACT E-MAIL	Gary.Craig@cmascotland.co.uk	CONTACT TEL/MOB.	0178 646 8867
e.	ASSOCIATED MCCP/OCCP (CMA TO ADVISE)	None				
f.	ASSOCIATED DOCUMENTS (TO THIS PART A)	None				
g.	PROPOSED URGENCY	NON-URGENT				
h.	REASONS FOR URGENCY					

The CMA CEO will review this information and make a decision as to whether to take this MCCP/OCCP forward as urgent as defined under Market Code Part 8.9.1

2. MCCP/OCCP DETAILS

a.

ISSUE or DEFECT WHICH THIS MCCP/OCCP SEEKS TO ADDRESS required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

Current CSD drafting requires that a series of verifications, including the successful submission of SPID Data by the owning LP, via the T006.0 or T006.1 transactions (including Customer Classification, SIC Code and RV), and Service Element information by Scottish Water, via the T006.2 or T006.3 transactions, along with relevant meter data, should be undertaken before a 'Partial' SPID can become Tradable (i.e. taken account of in settlement), as effected by a T007 (Notify Connection Complete) transaction being accepted.

The key extract from CSD0101 is as follows:

'The following Steps f through to k, are shown separately for clarity rather than representing sequential steps. The information can be drip-fed as and when the Data Owner becomes aware of the information; however, the Registration will not be complete until all of the SPID Data relevant to the Supply Point and necessary for the Settlement Process has been provided to the CMA.

The Market Audit identified a finding that this check was not being performed adequately:

'The validation checks performed by the Central System are not functioning as required. This may lead to SPIDs being connected inappropriately, therefore adversely impacting the settlement runs and market data set quality.'

The CMA is currently in the process of delivering a fix to the Central Systems to provide an automated check of relevant Service Element information as part of the validation of a T007 transaction seeking to connect a SPID and rendering it 'Tradable', so as to avoid the time, cost and effort involved in assessing 'Partial' (and 'New') SPIDs that also have connection dates and retrospectively allocating Service Elements to render them 'Tradable'.

In light of the practical approach that has been adopted for this fix, it is considered that a change to the wording in the CSD would more precisely reflect how the T007 is being validated and this MCCP seeks to establish that revised CSD wording.

Also, this MCCP identifies that CSD0301 Annex A will be modified to reflect the XML that will be delivered as part of the September Release.

b.	DESCRIPTION OF NATURE AND PURPOSE OF THE MCCP/OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)	
The Proposer should indicate which principles the change supports and whether there is any adverse effect on any principle(s).		
PRINCIPLE	EFFECTED (Y/N)	DESCRIPTION
Proportionality	N	It is assumed that the fix would proceed, in any event, on the basis that current CSD drafting, along with the audit finding require these checks to be performed. The XML update is automatically generated. Hence, no significant costs are incurred for this MCCP.
Transparency	Y	The proposed CSD drafting provides an unambiguous description of how the T007 validation is being performed and of what the revised XML will contain.
Simplicity, Cost-effectiveness and Security	N	
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC/OC OBJECTIVES	N	
c.	IMPACT – required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)	
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC/OC	N	
CSDs	Y	Changes to CSD0101, as identified in Appendix 1 and changes to CSD0301, Annex A
Wholesale Services Agreements	N	
Licences	N	
CMA Central System	N	
CMA business processes	N	
Trading Party systems	N	
Trading Party business processes	N	

PART B –TP ASSESSMENT

1. ASSESSMENT PROCESS

a.	ASSESSMENT START DATE	N/A	ASSESSMENT END DATE	N/A
b.	IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED		
c.	CONSULTATION REQUIREMENT	CONSULTATION NOT REQUIRED		
d.	ASSOCIATED DOCUMENTS (to this Part B)	None		

2. ASSESSMENT DETAILS

a.	CHANGE SPECIFICATION AND IMPACT			
Drafting changes to CSDs				
b.	DRAFT LEGAL TEXT (if different from that originally submitted)			
c.	TP ASSESSMENT taking into account complexity, importance and urgency and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)			
Notional Cost from LWI 17 cost model; No material cost Costs from IA; N/A Assessment; Completeness – Y Duly Signed – Y Within MC Objectives – Y Non-Exclusivity – OK Customer Contact – OK Core Function – OK Non-Discrimination – OK Cost-Effectiveness – OK Proportionality – OK Transparency – Y Simplicity – Y Barriers to Entry – OK Security - OK		Benefits; Improves transparency and simplicity by refining CSD drafting (CSD0101) and maintaining the accuracy of CSDs (CSD0301, Annex A).		
3.	TP DECISION	APPROVED		
4.	FINAL TP VIEWS			
5.	PLANNED IMPLEMENTATION DATE	September 2011		

WITHDRAWN BY PROPOSER?	NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C – APPROVAL		
1.	DATE FINAL REPORT ISSUED TO COMMISSION (if required)	2011-09-12
2.	APPROVAL STATUS	APPROVED CHANGE
3.	DATE OF APPROVAL STATUS	2011-06-26
4.	COMMISSION RESPONSE REFERENCE	110926 Letter to CMA re TP meeting on 110818 – Copy.pdf

PART D – IMPLEMENTATION		
1.	IMPLEMENTATION DATE	
2.	IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central System Release Number, etc.)	

Attachment 1: Proposed Drafting Changes for CSD0101 Re. Validation of T007

See attached file.