

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.2

Change Proposal reference
(To be completed by the TP Sec.)

MCCP155

Version No.

D.1

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE

Cancelling SPID Transfers for Pending TDISCs

A.1.b. COMPANY

CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED
SIGNATURE

NAME

A.1.d. CONTACT NAME

Neil Cohen

CONTACT EMAIL;
TEL/MOB.

Neil.cohen@cmascotland.co.uk
0117 942 3272

A.1.e. ASSOCIATED
MCCP / OCCP

A.1.f. ASSOCIATED
DOCS.

A.1.g. PROPOSED
URGENCY

NON-URGENT

A.1.h. REASONS FOR
URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
<p>An issue had been raised with the CMA noting that a Temporary Disconnection (TDISC) notification from Scottish Water had been rejected by the CMA Central Systems as a result of the relevant Supply Point being subject to a pending transfer. Whilst not strictly in accordance with Market Code and CSD requirements, this process did allow for remedial action to be taken.</p> <p>However, the intent of the Market Code is clearly that a Temporary Disconnection should take precedence over a Supply Point transfer and this priority is applied, if a TDISC is already in place when a transfer request is submitted (the transfer request is rejected). But current Market Code drafting does not cover circumstances where a transfer request is submitted when a temporary disconnection is in progress, but has yet to be executed and notified to the CMA.</p>		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
<p>This MCCP seeks to identify an obligation on an Outgoing LP to cancel a pending transfer, if a temporary disconnection process is underway, using existing cancellation codes and notifications.</p> <p>The definition of when a temporary disconnection is deemed to be underway is any time after a Disconnection Request has been submitted to Scottish Water by the LP, in accordance with the Disconnections Code and has not been cancelled, or executed and notified to the CMA.</p>		
Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	This change is administrative in nature and carries no cost, but simplifies processes around transfer and disconnection.
Transparency	N	
Simplicity, Cost-effectiveness, and Security	Y	The change removes the need for manual work-rounds and bespoke interactions between CMA, SW and LPs to manage transfer/TDISC interactions.
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	

Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	MC obligation on an Outgoing LP to cancel a transfer when a TDISC is pending is required.
CSDs	Y	CSD0103 should be amended as below.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	Y	Optional process to cancel a transfer should become obligatory for an Outgoing LP when a TDISC is pending

A.2.d. DRAFT LEGAL TEXT
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

The Market code shall be amended as follows:

New Section 5.6.2(a)

Each Outgoing Licensed Provider shall submit a Cancellation Request to the CMA within the Cancellation Window (in accordance with CSD 0103 (Registration: Cancellations)) if the Outgoing Licensed Provider has submitted a Disconnection Request, in accordance with the Disconnection Code, to Scottish Water to temporarily disconnect the Supply Point and such Disconnection Request has not been cancelled, or executed and notified to the CMA.

Current Section 5.6.2 shall be renumbered as Section 5.6.2(b)

CSD0103 shall be amended as follows:

Section 2.1

The Cancellation process shall be undertaken in the event that:

- An Incoming Licensed Provider wishes to prevent its Registration; or
- An Outgoing Licensed Provider wishes to prevent the Transfer to the Incoming Licensed Provider; or
- An Outgoing Licensed Provider has submitted a Disconnection Request for the Supply Point to be temporarily disconnected, in accordance with the Disconnections Code and such Disconnection Request has not been cancelled and has not yet been executed and notified to the CMA.

Step a: Licensed Provider submits Cancellation Request [T010.0, T010.1]

During the Cancellation Window, where any of the grounds set out in Section 5.6.1 of the Market Code apply, an Incoming Licensed Provider must submit a Cancellation Request using Data Transaction T010.0 (Registration Cancellation). Where any of the grounds set out in Section 5.6.2(a) of the Market Code apply, an Outgoing Licensed Provider must submit a Cancellation Request using Data Transaction T010.0 (Registration Cancellation). Where any of the grounds set out in Section 5.6.2(b) of the Market Code are met, an Outgoing Licensed Provider may submit a Cancellation Request using Data Transaction T010.1 (Registration Cancellation)... [No further changes]

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME

Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

Next issue of the Market Code (September 2014)

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

PART B — TP ASSESSMENT

B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2014-06-23	ASSESSMENT END DATE	yyyy-mm-dd
B.1.b. IMPACT ASSESSMENT REQUIREMENT		IA NOT REQUIRED	
B.1.c. CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED	
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			

B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)

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B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)

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B.2.c. TP ASSESSMENT
Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	No cost (admin change only)
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	Avoids manual work-round when a TDISC is rejected. Assume 2 man-days/year: £7.5k: L
B.3. TP DECISION	TP APPROVED / REJECTED
B.4. FINAL TP VIEWS	
B.5. PLANNED IMPLEMENTATION DATE	yyyy-mm-dd

WITHDRAWN BY PROPOSER?	YES / NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2014-09-01
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2014-09-26
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	2014-09-18
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
CMA CS 4.1	