

# MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form  
version 2.3

Change Proposal reference  
(To be completed by the TP Sec.)

**MCCP240**

Version No.

**D.1**

## PART A — SUBMISSION

### A.1. GENERAL DETAILS

A.1.a. TITLE Discontinuation of the Vacancy Admin Scheme

A.1.b. COMPANY CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED SIGNATURE NAME David Candlish

A.1.d. CONTACT NAME David Candlish CONTACT EMAIL; TEL/MOB. David.candlish@cmascotland.co.uk  
01786 468 866

A.1.e. ASSOCIATED MCCP / OCCP

A.1.f. ASSOCIATED DOCS.

A.1.g. PROPOSED URGENCY NON-URGENT

A.1.h. REASONS FOR URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
<p>The Vacancy Admin Scheme, as described in MCCP091, was introduced in 2012 to allow Scottish Water to challenge the occupancy status of SPIDs. By use of the T034 group of transactions, Scottish Water were able to submit evidence to support such a challenge which could then be assessed by the relevant LP and, if successful, would lead to the vacancy flag being re-set on the relevant SPIDs.</p> <p>The intent of the Vacancy Admin Scheme was to ensure that all wholesale charges that should properly accrue should be duly identified, in particular, when a SPID had been flagged as being vacant, but may in fact have been consuming water and sewerage services.</p> <p>In the first 2 years after its introduction, the Scheme was used in respect of some 4,400 SPIDs (resulting in a change of vacancy status in some 528 instances). However, the Scheme was only used 15 times in 2014/15 (with no subsequent vacancy flag changes) and has not been used since.</p> <p>Furthermore, the issue of wholesale charges and vacancy status has since been more fundamentally addressed via the vacancy charging arrangements, introduced in 2017 (MCCP204 – CC and MCCP207 – CC). Under these arrangements, a number of charges continue to apply when a SPID is flagged as vacant and where consumption can be identified during a period of vacancy, volumetric charges also apply.</p> <p>Consequently, the T034 transactions and associated processing arrangements, along with the CSD drafting governing the use of the Vacancy Admin Scheme has become redundant and this proposal seeks its discontinuation.</p>		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
<p>The removal of the Vacancy Admin Scheme and its processing in the CMA CS will simplify the Market Code arrangements, simplify the LVI and HVI and will reduce the costs and risks associated with future developments of the CMA CS. In particular, if this proposal were to be delivered in the October 2019 Release, the costs associated with the re-development of the LVI are anticipated to be lower than if this were not to be the case.</p>		
Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	At net benefit (if delivered alongside the LVI re-development), arrangements are simplified and made more cost-effective.
Transparency	N	
Simplicity, Cost-effectiveness, and Security	Y	Interfaces, legal drafting and systems are simplified, since a group of transactions, processing and data requirements are to be discontinued.

Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	Y	

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	Section 5.5.2 (iii) would be removed.
CSDs	Y	CSD0105 Section 2.1, Steps j to m would be removed.  CSD0301. T034.0, T034.1, T034.2, T034.3, T034.3, T034.4 and T034.5 to be removed, along with Data Items; D2031, D2032, D4007, D4008, D4009, D4010, D4011 and D4012.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	Y	The T034 group of transactions should be disabled from use in the future, along with the Vacancy Admin Scheme processing and data management. Vacancy Admin Scheme historic data would be retained.
CMA business processes	N	
Trading Party systems	Y	Trading Party systems would no longer need to be capable of handling relevant T034 transactions.
Trading party business processes	Y	Trading Party business processes would no longer need to include those to support the Vacancy Admin Scheme.

A.2.d. DRAFT LEGAL TEXT  
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

The following may be deleted (and should be marked as 'No Longer Used'):

- **Market Code.** Section 5.5.2 (iii).
- **CSD0105.** Section 2.1 Steps j to m (inclusive) and associated entries in the flow chart and process summary table.
- **CSD0301.** T034.0, T034.1, T034.2, T034.3, T034.3, T034.4 and T034.5, along with Data Items; D2031, D2032, D4007, D4008, D4009, D4010, D4011 and D4012.

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME  
Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

October 2019

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

This proposal can be delivered at no cost (and some additional benefit), if delivered as part of the release for the LVI re-development (October 2019).

A.4. ANY OTHER COMMENTS

Indicative User Requirements are as follows:

**UR 1 Data Items.** The following Data Items, along with the associated stored evidence, may be archived and no further updates to these Data Items are required:

- D2031 (Vacancy Change Flag),
- D2032 (LP Challenged Vacancy Application Flag),
- D4007 (Data of Evidence),
- D4008 (Cryptographic Hash),
- D4009 (Cryptographic Hash Algorithm),
- D4010 (Vacancy Change Grace Period),
- D4011 (Water SP LP Org ID) and
- D4012 (Sewerage SP LP Org ID)

**UR 2 T034 Transactions.** No further processing of the following transactions is required:

- T034.0 (Vac Scheme: Application)
- T034.1 (Vac Scheme: Grace Period Notification)
- T034.2 (Vac Scheme: Grace End Notification)
- T034.3 (Vac Scheme: Application Notification)
- T034.4 (Vac Scheme: Application Confirmation or Cancellation)
- T034.5 (Vac Scheme: Application Confirmation or Cancellation Notification)

**UR 3 Error Codes.** The following error codes should be changed to 'For Future Use':

- [tbc]

**UR 4 LVI.** The LVI should be modified as follows:

- Transaction Submission pages. The T034.0 and T034.4 submission screens should be removed.
- Reports. The Vacancy Admin Scheme Reports should no longer be updated.

**UR 5 HVI.** The HVI (and where applicable, the XML Schema) should be modified such that the T034.0 and T034.4 transaction submissions should be removed.

## PART B — TP ASSESSMENT

<b>B.1. ASSESSMENT PROCESS</b>			
B.1.a.	ASSESSMENT START DATE	2019-03-07	ASSESSMENT END DATE 2019-04-18
B.1.b.	IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED	
B.1.c.	CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED	
B.1.d.	ASSOCIATED DOCUMENTS (to this Part B)		
<b>B.2. ASSESSMENT DETAILS</b>			
B.2.a. CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)			
B.2.b. CMA INTERNAL SYSTEMS IMPACT			
B.2.c. DRAFT LEGAL TEXT (if different from that originally submitted)			
B.2.d. TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)			
Impact on Principles and Objectives (if different from that originally submitted)			
Cost Estimate		CMA Cost Modelling suggests: Net benefit (if delivered as part of the LVI re-development, or £9k otherwise.	
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)		Reduces cost and risk for future developments. CMA Cost Modelling suggests: M.	
B.3. TP DECISION		TP APPROVED	
B.4. FINAL TP VIEWS		Unanimously approved 2019-04-18	
B.5. PLANNED IMPLEMENTATION DATE		October 2019	

WITHDRAWN BY PROPOSER?	NO
COMMENTS	
DATE OF WITHDRAWAL	

### **PART C — COMMISSION APPROVAL**

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2019-05-01
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2019-05-29
C.4. COMMISSION RESPONSE REFERENCE	

### **PART D — IMPLEMENTATION**

D.1. IMPLEMENTATION DATE	2019-10-24
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
CMA CS v5.4 MC v42 CSD0105 v7 CSD0301 v21	