

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.1

Change Proposal reference
(To be completed by the TP Sec.)

MCCP239

Version No.

D.1

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE

Change of Sewerage SPID connection date ownership

A.1.b. COMPANY

Scottish Water

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED
SIGNATURE

NAME

Belinda Oldfield

A.1.d. CONTACT
NAME

Stephen
McIntosh

CONTACT
EMAIL;
TEL./MOB.

stephen.mcintosh@scottishwater.co.uk
07875 873070

A.1.e. ASSOCIATED
MCCP /
OCCP

A.1.f. ASSOCIATED
DOCS.

Annex1 – Indicative URs vA.1

A.1.g. PROPOSED
URGENCY

~~URGENT~~ / NON-URGENT

A.1.h. REASONS FOR
URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
<p>Licensed Providers are currently responsible for setting the connection dates (T007.1) on sewer only supply points or where the sewer SPID is created separately to that of the water SPID (pair). SW sets the connection date (T007) on water SPIDs and where sewer SPIDs are created as a pair.</p> <p>There have always been minor alignment issues where Scottish Water have created sewer supply points and the connection date, set on the T007.1 by the Licensed Provider may not have been set to the date expected or aligned to the changes applied on a multi-tenancy SPID.</p> <p>Following the matching of the Market data to the SAA and the increase of premises configuration changes, namely premises splits, the volume of sewer SPID creation as a result of splits has increased. Premises splits are now commonplace and part of business as usual in the market. The importance of aligning the connection date to the various updates of the water SPID (MT SPID) has made this process difficult to monitor and administer. The result is often misalignment of dates between multi-tenancy SPIDs and associated drainage SPIDs. As a result, to reduce this risk, Scottish Water creates the drainage SPIDs (processes the split) but will hold off processing the various service and RV updates on the multi-tenancy SPID until it knows the connection date applied to the sewer SPIDs by the LP(s). The process has become fragmented, this builds in delays and creates more SW/LP handoffs and causes frustration. When there are multiple LPs involved this may never align. This can build in significant delays in completing all transactions.</p> <p>This issue was presented to the October 2018 MPF (MPF061) and there was general agreement it should progress to a MCCP.</p>		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
<p>This proposal seeks to transfer the Licensed Provider owned T007.1 transaction to Scottish Water.</p> <p>Doing so will enable the sewer SPID connection date and all associated transactions related to the creation of the sewer SPID to be aligned and will avoid misalignment of dates, particularly for sewer only SPIDs as a result of a premises configuration changes (split). This change will streamline the SPID creation processes enabling Scottish Water to process all associated transactions together (such as Live RV, Transition Flag and Property Drainage). This should in turn make it simpler and improve transparency for Licensed Providers when receiving new SPID requests as the correct dates, aligned to other market changes have already been set.</p>		
Principles and Objectives affected		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	N/A	
Transparency	Y	Will improve the accuracy of SPID data and timeliness of updates into the Market.

Simplicity, Cost-effectiveness, and Security	Y	Will streamline the SPID creation processes and data updates.
Non-exclusivity	N/A	
Barriers to Entry	N/A	
Customer Contact	N/A	
Non-discrimination	N/A	
Non-detrimental to SW Core Functions	Y	Aligns data updates to SW core processing functions.
MC / OC OBJECTIVES		

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	
CSDs	Y	
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	Y	Change to functionality to allow Scottish Water to set the D2013 and send the T007.1 transaction for sewer (only) SPIDs
CMA business processes		
Trading Party systems	Y	Licensed Providers will no longer have to send the connection date D2013 and send the associated T007.1 transaction.
Trading party business processes	Y	Will remove the requirement for Licensed Providers to set connection dates D2013 and send the associated T007.1 transaction.

A.2.d. DRAFT LEGAL TEXT
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

Changes to the following market documents are required:

CSD0002

CSD0101

CSD0301

Operational Code – Processes 2 and 4

CSD0002 – Performance Standards

Change to Performance Standards to reflect Scottish Water setting the Connection Date on New Connection SS Supply Points. The R4A and R4B should be removed as the transaction would follow the Licensed Provider notification to Scottish Water. This notification cannot be recorded at the CMA. The 5A standard is updated and applicable to SW following receipt of the T002.1 from the CMA.

5. Table 1 – Performance Standards

R4A	New Connection Notifications	LP	Receipt by the CMA of a T007.1 (Notify Connection Complete) within 5 BDs, of the effective date contained within the transaction. This applies to the "New" connection type only, and not to "Gap Site" or "Connection Change of Use"	Level 2	CMA	
R4B	New Connection Notifications	LP	Receipt by the CMA from the LP of a T007.1 (Notify Connection Complete) within 9 BDs of the effective date contained within the transaction. This applies to the "New" connection type only, and not to "Gap Site" or "Connection Change of Use"	Level 3 —Level 2	CMA	Cumulative on R4A; the net effect being a Level 3 Charge
R5A	Connection Notifications for Gap Site or Connection Change of Use	LPS W	Receipt by the CMA from SW of a T007.1 (Notify Connection Complete) within 15 BDs of the CMA sending a T002.1 (Notify New SPID) to SW. Following the T002.0 (Notify New SPID) to the LP, the LP responds with a T007.1 (Notify Connection Complete) or a T009.2 (Error / Notification) within 15 BDs. This applies only to T002.0 transactions with "Gap Site" or "Connection Change of Use" connection type, but not the "New" connection type". This measure is only applicable to Sewerage SPIDs for which there is not a related Water SPID.	Level 3	CMA	

CSD0101 - Registration: New Connections & New Supply Points

Changes to Section 1 and 4.1 to accommodate the change in ownership and responsibility for setting the Connection Date on a SS Supply Point.

1. Purpose and Scope

Sections 2 and 3 describe the initial phases of the connections processes for the Registration of; New Connections and New Supply Points (i.e. Entry Change of Use or Gap Sites respectively), while Section 4 describes the later common phases of the connections processes. For the avoidance of

doubt, a Licensed Provider wishing to Register a Supply Point pursuant to an Entry Change of Use ~~(other than at a Sewerage Services Only Supply Point which is to be subject to Re-assessed Charges — see sub-section ‘Out of scope’ below)~~ or in relation to a Gap Site should follow the process in Section 3. For ease of reference, the treatment of an Exit Change of Use is set out in CSD0104 (Maintain SPID Data).

4.1 Description of Process Steps

Step I: Submit Connection Data

Scottish Water is always responsible for providing the Connection Date at a ~~Water Services~~ Supply Point. Where a Sewerage Services Supply Point has an associated Water Point that has not yet been made Tradable, the Connection Date notified by Scottish Water at the associated Water Services Supply Point will also be used for the Sewerage Services Supply Point.

In the event that only Sewerage Services are provided at the Supply Point or the associated Water Services Supply Point has already been made Tradable, then ~~the Licensed Provider~~ Scottish Water for the Sewerage Services Supply Point must confirm the Connection Date for those Services to the CMA.

New Connections:

Scottish Water will notify the CMA of the Connection Date of a Water Services Supply Point within 5 Business Days, for activities undertaken by Scottish Water and 8 Business Days for activities undertaken by an Accredited Entity, using Data Transaction T007.0 (Notify Connection). As above, this Connection Date will also be used for any associated Sewerage Service Supply Point which is not already Tradable. The CMA will confirm that the Water Services Supply Point any associated Sewerage Service Supply Point which is not already Tradable each has at least one Service Element on the Connection Date.

If the Connection is successful, the CMA will notify the Water Services Licensed Provider(s) of the Connection Date using Data Transaction T007.2 (Notify Connection). In the case of a Related Water Supply Meter, the Sewerage Services Licensed Provider will be notified by the CMA of the Connection Date using the Data Transaction T007.2 (Notify Connection).

When a meter has been notified to the CMA and Scottish Water has submitted an I Read, the T007.0 will set the meter effective from date and the I Read date to equal the latter of the I Read date or the SPID Connection Date.

In the event that only Sewerage Services are provided at the Supply Point or the associated Water Service Supply Point has already been made Tradable, then ~~the Sewerage Services Licensed~~

~~Provider~~Scottish Water will notify the CMA of the Connection Date within 2-5 Business Days ~~after that connection is made of the Licensed Providers notification to Scottish Water~~ using Data Transaction T007.1 (Notify Connection (SS)).

Following the loading of a Connection Date, the CMA will carry out the check detailed in Decision m “Verify SPID Data Consistent”. If the SPID Data is consistent, then the process will continue at Step n, otherwise the Licensed Provider(s) and/or Scottish Water (as appropriate) will continue to have to load SPID Data.

New Supply Points:

Scottish Water will, confirm the Connection Date of a Water Services Supply Point to the CMA using the Data Transaction T007.0 (Notify Connection Complete (WS)) within 15 Business Days of receiving the T002.1 (Notify New SPID). As above, this Connection Date will also be used for any associated Sewerage Service Supply Point which is not already Tradable. The Connection Date for the New Supply Point(s) shall be 5 Business Days after the Data Transaction T002.1 (Notify New SPID).

When a meter has been notified to the CMA and Scottish Water has submitted an I Read, the T007.0 will set the meter effective from date and the I Read date to equal the latter of the I Read date or the SPID Connection Date.

In the event that only Sewerage Services are provided at the New Supply Point or the associated Water Service Supply Point has already been made Tradable, then ~~the Sewerage Services Licensed Provider~~Scottish Water will notify the CMA of the Connection Date using Data Transaction T007.1 (Notify Connection (SS)) within 15 Business Days of receiving the T002.1 (Notify New SPID).

4.3 Interface and Timetable Requirements

step ID	Action/ Decision	Process Step	From	To	Time parameter	C o m m e n t s	Mkt code Ref	Clause	(indicative) Data Transaction ID
---------	------------------	--------------	------	----	----------------	--------------------------------------	--------------	--------	----------------------------------

I	S	Confirm SS connection completed if required (i.e. no associated WS)	LPSW	CMA	New Connections: within 5/8 BD of the Connection Date New Supply Points: Within 15BDs of T002	5.4.5	T007.1
---	---	---	------	-----	--	-------	--------

Appendix 1: Registration Data Checks at a New Connection/New Supply Point

Step Name	Data supplier	Transaction	For Data items refer to CSD0301 Data Transaction Catalogue	CMA data checks on receipt of transaction* For a list of the Data Items in each Transaction, refer to CSD0301 (the Data Transaction Catalogue) :
-----------	---------------	-------------	--	---

<div style="border: 1px solid black; border-radius: 10px; padding: 5px; width: fit-content;"> Confirm SS connection completed if SM or if no WS </div>	<p><u>LPSW</u></p>	<p>T007.1 Notify Connection (SS)</p>	<p>Submitted by <u>SS-LPSW</u>. The CMA will check that the SS has at least one Service Element active on the Connection Date. Flow is valid only for an SS SPID without an associated WS SPID, or where there is a WS SPID which is Tradable, TDISC, PDISC or DEREG.</p> <p>When Trade Effluent Services have been notified, then the start date of the Trade Effluent Services will be set to the Connection Date notified in the flow.</p>
--	--------------------	--	--

CSD0301 – Data Transaction Catalogue

Change to data item D2013 making this a SW data item.

Data Item Number:	D2013
Data Item Name:	Connection Date
Data Item Logical Type:	date
Member of unique serial set:	no
Member of Valid Set:	no
Data Group:	SPID (Core)
Correction Method:	Retrospective Amendment
Data Owner:	<u>LP or SWSW</u>
Description:	Date the connection was completed
Further Details:	WS connection date used for SS SPID on same SPID core <u>where WS SPID is not tradable</u> . If no WS <u>or WS SPID is tradable</u> , then <u>SW provides SS LP provides connection date for SS connection date</u> .

Change to the T007.1 transaction to transfer this from a Licensed Provider transaction to a Scottish Water transaction allowing Scottish Water to set the Connection date on SS Supply Point.

Transaction Number	T007.1	
Transaction Name	Notify Connection Complete (SS)	
From	<u>LPSW</u>	

To	CMA	
DI #	Name	FLAG
D2001	SPID	RQ
D2013	Connection Date	RQ
Description	Confirmation by the <u>LP-SW</u> that a SS Supply Point is connected. Note - This transaction is only required <u>if there is no Related Water Supply Meter for SS supply points with no associated WS supply point or where the WS Supply Point is already tradable.</u>	

Change to the T007.2 Notify Connection transaction to update the Licensed Provider where Scottish Water sets the Connection Date of a SS Supply Point.

Transaction Number	T007.2	
Transaction Name	Notify Connection	
From	CMA	
To	LP	
DI #	Name	FLAG
D2001	SPID	RQ
D2013	Connection Date	RQ
Description	Notification from the CMA to the LP of SW's confirmation of WS <u>or SS</u> Supply Point connection	

Operational Code

Changes to processes 2 and 4 of the Operational Code that deal with Sewerage New Connections. Updating the processes to reflect that Scottish Water updates the CMA following notification of the connection date from the Licensed Provider.

New Connections

Process 2 - Application for an individual premises sewerage connection (a "Part 1" Sewerage Connection)

Step 8

The Licensed Provider arranges for the connection to be made on the agreed date and then notifies Scottish Water of the connection date within 5 Business Days of the connection being made.-

Step 9

~~The Licensed Provider makes the connection on the agreed date and notifies the Central Market Agency of the date of the new connection within~~ Within 5 Business Days of ~~the receipt of the Licensed Provider connection notification~~ Scottish Water will update the Central Market Agency in accordance with the Market Code. ~~connection.~~ The Licensed Provider also confirms to Scottish Water the completion of the connection as set out in Form B, and provides a copy of the 'as built' drawings relating to the on-site and off-site works.

New Connections

Process 4 - Application for a sewerage mains/trunk sewer/waste water system connection (a "Part 2/3" Sewerage Connection) accompanied by an application for an individual premises sewerage connection (a "Part 1" Sewerage Connection)

Step 9

The Licensed Provider arranges for the Part 2/3 connection and the Part 1 connection(s) to be made on the agreed date and then notifies Scottish Water of the connection date within 5 Business Days of the connection being made.

Within 5 Business Days of receipt of the Licensed Provider connection notification Scottish Water will update the Central Market Agency in accordance with the Market Code. The Licensed Provider notifies the Central Market Agency within 5 Business Days of the date of the new premises being connected in accordance with the Market Code.

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME

Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

September 2019 Release

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

PART B — TP ASSESSMENT

B.1. ASSESSMENT PROCESS			
B.1.a. ASSESSMENT START DATE	2019-02-06	ASSESSMENT END DATE	2019-02-21
B.1.b. IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED		
B.1.c. CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED		
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			
B.2. ASSESSMENT DETAILS			
B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)			
B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)			
B.2.c. TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)			
Impact on Principles and Objectives (if different from that originally submitted)			
Cost Estimate			
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)			
B.3. TP DECISION	TP APPROVED		
B.4. FINAL TP VIEWS	Unanimously approved 2019-02-21		
B.5. PLANNED IMPLEMENTATION DATE	March 2020		

WITHDRAWN BY PROPOSER?	Yes / No
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2019-02-27
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	yyyy-mm-dd
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	26 March 2020
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
CSD0002 v13 CSD0101 v14 CSD0301 v22	