MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL		Form version 2.3
Change Proposal reference (To be completed by the TP Sec.)	**MCCP260**	Version No. **D.2**

PART A — SUBMISSION

A.1.	GENERAL DETAILS	
A.1.a.	TITLE	PPDISC SPIDs
A.1.b.	COMPANY	Scottish Water

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c.	AUTHORISED SIGNATURE		NAME	Richard Lavery
A.1.d.	CONTACT NAME		CONTACT EMAIL; TEL/MOB.	
A.1.e.	ASSOCIATED MCCP / OCCP			
A.1.f.	ASSOCIATED DOCS.			
A.1.g.	PROPOSED URGENCY	NON-URGENT		
A.1.h.	REASONS FOR URGENCY			

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2.	MCCP / OCCP DETAILS

A.2.a.	ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

MCCP256 (TTRAN SPIDs) was implemented in March 2021 and modified the application of TTRAN status such that it can now be applied to an individual SPID, rather than to a SPID core, for a SPID with a prior status of Tradable (REC) but continues to be applied to a core where the SPID (and any associated SPID) has a prior status of PPDISC. Similar treatment applies when a SPID is to be changed from TTRAN: an individual SPID can be changed to PDISC, DEREG or Tradable when its treatment in settlement is equivalent to Tradable, but such changes must be applied to a core when treatment in settlement when TTRAN has been equivalent to PPDISC.

A Retrospective Amendment was also established in March 2021 to enable SW to back-date a TTRAN status, with similar limitations to those identified for MCCP256.

However, the underlying intent of MCCP256 (and for the Back-dated TTRAN RA) was that TTRAN status should be capable of being applied on a SPID basis, rather than on a core basis, regardless of whether the prior SPID status was Tradable or PPDISC. But this proved not to be possible because the CSD obligations relating to PPDISC were that this status could itself only apply on a core basis.

This proposal seeks to recognise that PPDISC can legitimately apply on a SPID basis and that, consequentially, TTRAN can also be applied on a SPID basis in both pre-requisite circumstances (when a SPID has a prior status of either Tradable or PPDISC).

The proposal also includes minor modifications to the Back-dated RA to ensure consistency with the proposed changes to CSD obligations.

A.2.b.	DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

General Description

The application of PPDISC status should be capable of being applied on a SPID basis. Similarly, TTRAN may then apply to any SPID with a prior status of either Tradable or PPDISC and any change from TTRAN (to PDISC. DEREG or Tradable) can then also apply to a SPID, rather than to a core.

The Back-dated TTRAN RA will also have these limitations removed, whereby it may subsequently apply to a SPID when prior status was PPDISC, rather than the core.

Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	This proposal avoids costly bespoke changes to accommodate genuine scenarios regarding changes of SPID status.
Transparency	N	
Simplicity, Cost-effectiveness, and Security	Y	As above.

Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	See below.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	Y	See Indicative URs below.
CMA business processes	N	
Trading Party systems	N	
Trading Party business processes	N	

A.2.d. DRAFT LEGAL TEXT
        Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

The following changes (in red text) should be made to CSD0104 Part 1:

3. SPID Status Change

3.1 Process Description

This description should be read in conjunction with the Process Diagram in Section 3.4 and the Interface and Timetable Requirements in Section 3.5. The 'step' and 'decision' references appear to the bottom left of each step or decision symbol in the Process Diagram.

The following changes of SPID status may occur (excluding the establishment of a Pseudo Water Services Supply Point and the provision of a water supply at a Pseudo Water Services Supply Point, which are described in section 5):

- A Water Services SPID, or a Water Services SPID and its associated Sewerage Services SPID that is/are Tradable or Reconnected may be Temporarily Disconnected ~~or Pending Permanent Disconnected~~.
- A Water Services SPID, or a Water Services SPID and its associated Sewerage Services SPID that is/are Temporarily Disconnected ~~or Pending Permanent Disconnected~~ may be Reconnected or Permanently Disconnected.
- ~~A Water Services SPID, or a Water Services SPID and its associated Sewerage Services SPID that is/are Temporarily Disconnected or Pending Permanent Disconnection may be Permanently Disconnected.~~
- A Water Services SPID ~~and any associated~~ or a Sewerage Services SPID that is~~/are~~ Pending Permanent Disconnection may be Deregistered, or Reconnected, ~~or the Water Services SPID Permanently Disconnected and an associated Sewerage Services SPID Reconnected~~.
- A Water Services SPID or a Sewerage Services SPID that is~~/are~~ Tradable may be Pending Permanent Disconnection, Permanently Disconnected, or Deregistered.
- A Water Services SPID, or a Sewerage Services SPID, that has one T17 Meter Chain for which an I Read has been successfully submitted and possibly other active Service Elements continuously from the date when a Permanent Disconnection or Deregistration is required may be Permanently Disconnected or Deregistered via the process steps given in Section 3.3.
- A Water Services SPID or a Sewerage Services SPID that is/are Tradable, Reconnected, or Temporarily Disconnected may be Deregistered.
- For the avoidance of doubt, Pseudo Water Services Supply Points cannot be Temporarily Disconnected as such a Supply Point only exists to support Sewerage Services and a Temporary Disconnection cannot be made to Sewerage Services.

3.2 Process Steps (General SPID Status Change)

Step a: Update CMA with Change of Status: [T015.0, T005.0]

Within 2 Business Days of undertaking a change of status of a Supply Point, or 5 Business Days for a change to Permanently Disconnected, Scottish Water will notify the CMA of such change, using Data Transaction T015.0 (Update SPID Status).

At the same time, Scottish Water will provide a Meter Read(s) taken at the time of undertaking the change of status, using Data Transaction T005.0 (Submit Meter Read (SW)), unless otherwise stated below. The Read Type used in the T005.0 will depend on the status change and on any associated change to the meter.

- For a Temporary Disconnection, or Pending Permanent Disconnection, if the meter(s) remain in situ during the period of Temporary Disconnection, Scottish Water shall notify a Temporary Disconnection Read(s). In the event of removal, Scottish Water should follow the Removal of Meter process (CSD0104 Part 2) and notify a Final Read(s). If the Temporary Disconnection gives effect to a discontinuation of Trade Effluent Services, Scottish Water shall complete such a discontinuation, in accordance with CSD0206, before sending the T015.0 (Update SPID Status) and T005.0 (Submit Meter Read (SW)).
- For a Temporary Disconnection where the Water Services Supply Point has an associated Pseudo Meter. Scottish Water shall not submit a T005.0 (Submit Meter Read (SW)). The Licensed Provider(s) shall note that a Temporary Disconnection Read will not be provided by Scottish Water and the volumetric calculations by the CMA will be based on the YVE as provided by Scottish Water in the T004.3 (Request Pseudo Meter).
- For a Reconnection, if the meter(s) was removed during the period of Temporary Disconnection, a new meter will be installed, and Scottish Water will notify the new meter(s) details and Initial Read(s) using the Addition of Meter process (CSD0104 Part 2).
- For a Permanent Disconnection, or Deregistration, Scottish Water shall notify a Final Read(s). Should the meter to which the Final Read applies be part of an existing Meter Network, Scottish Water will ensure that the Meter Network is terminated prior to sending the final read, as described in CSD0104 Part 2. If the Permanent Disconnection, or Deregistration, is in respect of a Supply Point with Trade Effluent Services, Scottish Water shall complete such a termination in accordance with CSD0206, before sending the T015.0 (Update SPID Status) and T005.0 (Submit Meter Read (SW)).

For a Temporary Disconnection or Reconnection, within 2 Business days of the change of status of a Water Services Supply Point which is associated with a Sewerage Services Supply Point, Scottish

Water will notify the CMA of the change of status of the Sewerage Services Supply Point using Data Transaction T015.0 (Update SPID Status). It is recognised that a Temporary Disconnection of a Sewerage Services Supply Point is a logical disconnection only. For a Pending Permanent Disconnection, Permanent Disconnection or Deregistration:

- If the change of status is in respect of a Water Services Supply Point and the associated Sewerage Services Supply Point, Scottish Water must notify any Permanent Disconnection at each of the Supply Point(s) at the same time.
- Once a Supply Point is Permanently Disconnected, or Deregistered, it cannot be given any new status other than the effective date of such Permanent Disconnection, or Deregistration, as the case may be being amended to be at some earlier date via the T015.2 (Back-dated Dereg/PDISC), as described in Section 3.3, and cannot be made Tradable and used in the Central Systems.
- If the change of status is in respect of a Water Services Supply Point where the associated Sewerage Services Supply Point is to remain connected, Scottish Water must notify the CMA of the revised Service Elements at the Sewerage Services Supply Point, using the Data Transactions as appropriate for the SPID Data that is to be modified (see CSD0301 (Data Transaction Catalogue) for details).
- If the change of status is in respect of a Sewerage Services Supply Point associated to a Water Services Supply Point which is to remain connected, Scottish Water must send notification of the change of status in respect of the Sewerage Services Supply Point only.
- A Pending Permanent Disconnection can only be made for a vacant Water Services SPID (and any associated or a vacant Sewerage Services SPID must also be vacant) and this will automatically apply to any associated Sewerage Services SPID.
- If the change of status is from Pending Permanent Disconnection, notifications should be made in respect of the Water Services SPID only and; if the Water Services SPID is to be Reconnected or Deregistered, this change of status will also be applied to any associated Sewerage Services SPID. If the Water Services SPID is to be Permanently Disconnected, any associated Sewerage Services SPID will become Reconnected.

A.3.	IMPLEMENTATION DETAILS

A.3.a.	PROPOSED IMPLEMENTATION DATE OR LEAD TIME Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

September 2021

A.3.b.	ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

Indicative URs are as follows:

Commentary (General). The standing user requirements for both transactions and for RAs are held as schematic diagrams in visio. However, for the purposes of change proposals and for Consolidated User Requirements to describe Approved Changes, it has been found to be more useful and flexible to identify the new requirements in the more standard format of written statements. For the following requirements, the original URs for the changes to introduce and subsequently modify the arrangements for both TTRAN and PPDISC have been reproduced, with the additional changes to describe this proposal identified in red text (including both deletions and additions).

UR 1 T015.0 with a D2025 to or from PPDISC. The following changes to the existing requirements should be made (in red text).

> **UR 1.1 Validation for the T015.0 – D2025 of PPDISC.** The T015.0 validation should be modified, such that after Sender validation for a T015.0 submission with a D2025 value of PPDISC.
>
> > **UR 1.1.1** ~~The SPID must be a WS SPID. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code AG.~~ No longer used.
> >
> > **UR 1.1.2** The SPID must have a D2025 value of REC, or TDISC, or combination thereof, from the D4006 EFD to the T015.0 submission date. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code GK.
> >
> > **UR 1.1.3** ~~Any associated SPID must have a D2025 value of REC, TDISC, PDISC, Dereg, or combinations thereof, from the D4006 EFD to the T015.0 submission date. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with new error code HI ('Associated SPID must be Tradable and/or TDISC and/or PDISC and/or DEREG from the Effective From Date').~~ No longer used.
> >
> > **UR 1.1.4** The SPID must be Vacant (D2015 set to True) continuously from the EFD to the submission date ~~and any associated SPID must be Vacant (D2015 set to True) for any periods where it has a D2025 value of REC or TDISC)~~. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code GL.
> >
> > **UR 1.1.5** The D4006 EFD for a D2025 of PDISC must be on or after any D4006 EFD for a D2025 with a value of PPDISC. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with new error code EW ('Effective From Date must not pre-date the earliest PPDISC date').
> > NOTE: Setting a SPID to PDISC is SPID specific, not core specific.
> >
> > **UR 1.1.6** The D4006 EFD must be on or after 2017-04-01. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with new error code EZ ('Effective From Date must be on or after 2017-04-01').
>
> **UR 1.2 Processing for the T015.0 – D2025 of PPDISC.**
>
> > **UR 1.2.1 Update Status.** If all of the above validations are passed, the SPID~~, and any associated SPID for periods where it is REC or TDISC,~~ should be given the D2025 SPID Status values of PPDISC and a T015.1 issued to the relevant LP ~~for both the WS SPID and for any associated SS SPID~~.

UR 1.2.2 Pending Transfers. When a T015.0 is successfully submitted, setting a SPID to a D2025 value of PPDISC, if there is an Active Registered LP for ~~either (or both)~~ the WS SPID ~~and any SS SPID~~, the pending transfer(s) should be cancelled, with a Cancellation Reason of 'Supply Point is Pending Permanent Disconnection' and a T015.1 issued additionally to the Active Reg LP(s).

UR 1.3 Processing for the T015.0 – D2025 from PPDISC. Following successful validation of the T015.0, if the D2025 SPID Status is being changed from PPDISC to another value:

UR 1.3.1 ~~The SPID must be a WS SPID. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code AG.~~ No longer used.

UR 1.3.2 The new D2025 SPID Status value must be one of: PDISC, or REC. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with new error code FI ('Submitted SPID Status must be REC or PDISC').

UR 1.3.3 ~~If any associated SPID that has had a period of PPDISC also has a D2025 value of Dereg on a date on or after the D4006 EFD, the new D2025 value in the T015.0 must be REC. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code AE. NOTE: Any PDISC at a later date can be made earlier in time, but cannot be made later in time.~~ No longer used

UR 1.3.4 ~~The change of D2025 SPID Status value should apply to the SPID and any associated SPID, as of the D4006 EFD.~~ No longer used

Commentary. This UR does not involve any new requirements for PPDISC (either for changes of status to PPDISC or from PPDISC), but simplifies existing requirements, to allow for these changes to be SPID specific, instead of core based. Hence, the validation for change to PPDISC need only validate pre-requisites for the SPID being changed and, following successful validation, any update to the status can then be made to the SPID (both to and from the PPDISC status, noting that changes from PPDISC involve validation of any subsequent T015.0 to the proposed new status and these validations remained unchanged)

UR 2 T015.0 with a D2025 to or from TTRAN. The following changes to the existing requirements should be made (in red text).

UR 2.1 Validation for the T015.0 - D2025 of TTRAN. If a T015.0 is submitted with the D2025 SPID Status set to TTRAN, the following changes to existing validation should be made following Sender validation:

UR 2.1.1 SPID Status – PPDISC. ~~If the status of the SPID on any date on or after the D4006 Effective Date to the submission date is PPDISC, the submitted SPID must be a WS SPID (and the identified validation and processing should then apply to both the WS SPID and any associated SS SPID). If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code AG.~~ No longer used.

UR 2.1.2 SPID Status. The SPID must be Tradable, or PPDISC, or some combination of the two, continuously from the D4006 EFD to the submission date of the T015.0. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code HF ('SPID must only be either Tradable or PPDISC from the Effective From Date').

UR 2.1.3 EFD. The D4006 EFD must be on or after the most recent RSD for the SPID. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code AX.

UR 2.1.4 Vacancy. The SPID must be Vacant (D2015 set to True) continuously from the EFD to the submission date. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code GL.

~~NOTE: For circumstances where TTRAN is applied to a WS SPID with a prior status of PPDISC, this validation need only be applied to the WS SPID, since vacancy is a core attribute.~~

UR 2.2 Processing for the T015.0 – D2025 SPID Status Value to TTRAN. Following successful validation of the T015.0, the D2025 SPID Status value should be updated to TTRAN, the following changes to existing URs should be made:

UR 2.2.1 The SPID should continue to be chargeable in settlement, as if it were Tradable, or PPDISC, as per the SPID status prior to the status change and the LP associated with the SPID should be changed to SW (under the SWx banner), as of the D4006 EFD.

UR 2.2.2 The T015.1 should be issued to the LP responsible for the SPID immediately prior to the TTRAN.

UR 2.2.3 Following the successful submission of a T015.0 which identifies a SPID with a D2025 SPID Status of TTRAN, a T008.0 should be issued to the LP associated to the SPID immediately prior to the T015.0 and a transfer effected from that LP to SW (under the banner of SWx).

UR 2.3 Processing for the T015.0 – D2025 SPID Status from TTRAN. Following successful validation of the T015.0, if the D2025 SPID Status is being changed from TTRAN to another value, the following changes to existing URs should be made:

UR 2.3.1 ~~If the treatment in settlement is equivalent to PPDISC on any day from the D4006 Effective Date to the submission date, the submitted SPID must be a WS SPID (and the identified validation and processing should apply to both the WS SPID and any associated SS SPID). If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code AG.~~ No longer used.

UR 2.3.2 The new D2025 SPID Status value must be one of; PDISC, DEREG, or REC. If this is not the case, the T015.0 should be rejected and an error message sent via the T9 Helper, with existing error code HH ('Submitted SPID Status must be REC, PDISC, or DEREG').

UR 2.3.3 The change of D2025 SPID Status value should apply to the SPID as of the D4006 EFD.

UR 2.3.4 If the new D2025 SPID Status value is REC, the SPID should be given the status of Tradable and then allocated to the LP identified in the submitted D4001 Org ID, if provided, or to an LP using the Gap Site Allocation process ('Get LP for Gap Sites').

UR 2.3.5 The T015.1 should be issued to the LP to whom the SPID is allocated; as identified in the T015.0, or via the Gap Site Allocation process.

UR 2.3.6 The D2023 Connection Type for the SPID should be changed to TTE.

UR 2.3.7 A T008.1 should be issued to the LP identified either in the T015.0, or via the Gap Site Allocation process and a transfer effected to that LP from SW for that SPID.

UR 2.4 Changes to the T001.0 (Request New SPID). The submitted D2023 Connection Type must not be TTE. If this is not the case, the transaction should be rejected and a T9 issued via the T9 Helper, with existing error code KT ('Connection Type must not be TTE').

UR 2.5 Changes to the LVI.

UR 2.5.1 MDS. The following changes should be made to the X31 WS SPID Report and the X32 SS SPID Report of the MDS: When a SPID has a D2025 SPID Status of TTRAN, it should be displayed as:

- TTRAN-R if the SPID's treatment in settlement mirrors that for a Tradable SPID.
- TTRAN-P if the treatment in settlement mirrors that for a PPDISC SPID.

UR 2.5.2 SPID View. The following changes should be made to the General Info tab and to the Status History tab under SPID View: When a SPID has a D2025 SPID Status of TTRAN, it should be displayed as:

- Temporarily Transferred (Tradable) if the SPID's treatment in settlement mirrors that for a Tradable SPID.
- Temporarily Transferred (PPDISC) if the treatment in settlement mirrors that for a PPDISC SPID.

Commentary. This UR does not involve any new requirements for TTRAN (either for changes of status to TTRAN or from TTRAN). However, the original intent of MCCP256 was that TTRAN should apply on a SPID basis, rather than on a core basis, but this was not possible if a SPID had a prior status of PPDISC, because TTRAN status implies that such prior status remains the basis for treatment in settlement and, therefore, the core based treatment under PPDISC needed to be retained. This limitation also meant that both a change to and from TTRAN needed to remain core based when the underlying treatment in settlement followed that for PPDISC. This UR delivers the remaining elements of the SPID based approach for TTRAN, given that PPDISC can now be treated on a SPID basis as well.

UR 3 Backdated TTRAN RA. The following changes to the existing requirements should be made (in red text).

UR 3.1 General:
- Title: 'Backdated TTRAN RA'.
- Sender: SW
- Reporting: Following successful execution, the Current LP as at the submitted D4006 Effective Date will be notified of the status changes.
- Charge per row: £2.50
- Maximum charge: £125.00

UR 3.2 RA Data. The data required for this RA will be as follows:
- D2001 SPID, being the SPID to be given the status of TTRAN.
  - Existing error code AB if not specified.
- D4006 Effective Date, being the EFD from which the TTRAN status is to start.
  - Existing error code JK if not specified.
  - Existing error code JO if not in the correct format.

UR 3.3 RA Validation – D2001 SPID. Validation for the RA will be as follows and any failure should result in the processing ceasing for the row and a suitable message being provided:

UR 3.3.1 No longer used. ~~The SPID must be a WS SPID, if the SPID Status is PPDISC on any day on or after the D4006 Effective Date.~~

- ~~Existing error code AG~~

UR 3.3.2 The SPID must exist in the CMA CS.
- Existing error code AC for failure.

UR 3.3.3 The SPID ~~(and any associated SS SPID, if the SPID has a prior status of PPDISC)~~ must have a D2013 Connection Date that is prior to the D4006 Effective Date.

- Existing error code MZ for failure.

UR 3.3.4 The SPID ~~(or any associated SS SPID, if the SPID has a prior status of PPDISC)~~ must not have any DPIDs associated to it at any time on or after the D4006 Effective Date.

- New error code NG (RA; SPID must not have an associated DPID) for failure.

UR 3.4 RA Validation - D4006 Effective Date.

UR 3.4.1 The Effective Date must be on or before the current date.

- Existing error code MA for failure.

UR 3.4.2 The Effective Date must be prior to any change of the D2025 SPID Status to PDISC or DEREG.

- Existing error code NA for failure.

UR 3.4.3 The Effective Date must be on or after any change of the D2025 to REC ~~(and of any change of the D2025 to REC for any associated SS SPID, if the SPID has any prior status of PPDISC)~~.

- New error code NH (RA; Effective From Date must be on or after any change of the D2025 to REC) for failure

UR 3.4.4 The Effective Date must be after the most recent completed or pending RSD ~~(and of the most recent completed or pending RSD for any associated SS SPID, if the SPID has any prior status of PPDISC)~~.

- Existing error code AX for failure.

UR 3.5 RA Processing. For each valid row in the submitted RA, the following processing should be carried out:

UR 3.5.1 The D2025 SPID Status should be set to TTRAN from the D4006 Effective Date onward ~~and applied to any associated SS SPID, if the D2025 SPID Status is PPDISC on any day on or after the D4006 Effective Date)~~, or until there is a subsequent change of D2025 SPID Status to PDISC or DEREG ~~(for each relevant SPID)~~.

UR 3.5.2 If there is a subsequent D2025 SPID Status change to PDISC or DEREG in the CMA CS, the D2025 TTRAN status should be removed and the PDISC or DEREG status retained as of its original D4006 EFD.

UR 3.5.3 The SPID must be given a D2015 SPID Vacant value of True for all days from the D4006 of the change of status to TTRAN and throughout the period of TTRAN, if this is not already in place.

UR 3.5.4 The SPID treatment in settlement should be equivalent to that for a D2025 SPID Status of REC (if the D2025 prior to the RA were REC or TDISC) or PPDISC (if the D2025 prior to the RA were PPDISC).

Commentary. This UR does not involve any new requirements for a backdated TTRAN. However, the original intent of the RA was that a backdated TTRAN should apply on a SPID basis, rather than on a core basis, but this was not possible if a SPID had a prior status of PPDISC, because TTRAN status implies that such prior status remains the basis for treatment in settlement and, therefore, the core based treatment under PPDISC needed to be retained. This UR delivers the remaining elements of the SPID based approach for TTRAN, given that PPDISC can now be treated on a SPID basis as well.

PART B — TP ASSESSMENT

B.1.	**ASSESSMENT PROCESS**			
B.1.a. ASSESSMENT START DATE	2021-03-10		ASSESSMENT END DATE	2021-03-23
B.1.b. IMPACT ASSESSMENT REQUIREMENT		IA REQUIRED		
B.1.c. CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED		
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)				
B.2.	**ASSESSMENT DETAILS**			
B.2.a. CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)				
B.2.b. CMA INTERNAL SYSTEMS IMPACT				
B.2.c. DRAFT LEGAL TEXT (if different from that originally submitted)				
B.2.d. TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)				
Impact on Principles and Objectives (if different from that originally submitted)				
Cost Estimate		£7.9k (IA + 33%)		
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)		Avoids bespoke RAs to accommodate TTRAN and PPDISC scenarios: M.		
B.3. TP DECISION		TP APPROVED		
B.4. FINAL TP VIEWS		Unanimously approved on 2021-04-22		
B.5. PLANNED IMPLEMENTATION DATE		September 2021.		

WITHDRAWN BY PROPOSER?	NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1.	DATE FINAL REPORT ISSUED TO COMMISSION	2021-06-03
C.2.	APPROVAL STATUS	APPROVED CHANGE
C.3.	DATE OF APPROVAL STATUS	2021-08-17
C.4.	COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1.	IMPLEMENTATION DATE	Sept 2021
D.2.	IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	

CSD0104 v20