

**MARKET CODE / OPERATIONAL CODE
CHANGE PROPOSAL**Form
version 3.0Change Proposal reference
(To be completed by the TP Sec.)**MCCP291**

Version No.

D.1**PART A — SUBMISSION****A.1. GENERAL DETAILS**

A.1.a. TITLE	Adding LP ORG ID to T008.0		
A.1.b. COMPANY	Clear Business Water		
Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement			
A.1.c. AUTHORISED SIGNATURE		NAME	Ben Storrie
A.1.d. CONTACT NAME	Ben Storrie	CONTACT EMAIL; TEL/MOB.	Ben.storrie@clearbusinesswater.co.uk 0161 946 4783
A.1.e. ASSOCIATED MCCP / OCCP			
A.1.f. ASSOCIATED DOCS.			
A.1.g. PROPOSED URGENCY	NON-URGENT		
A.1.h. REASONS FOR URGENCY			

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
<p>The transaction T008.0 (Notify RSD Outgoing LP) is sent to Licensed Providers to notify them that an order has been placed by another provider to take over the registration of a SPID they supply.</p> <p>The LP ORG ID of the Incoming Licensed Provider (i.e. the Licensed Provider which placed the registration application) is not included in the transaction. This means that the Outgoing Licensed Provider cannot easily tell their customer which Licensed Provider has applied for their services if it is queried – an adviser would need to log onto the Central Systems for each individual SPID to confirm the information, which increases the number of users requiring access to the Central Systems and leads to a less simple customer journey. Licensed Providers also cannot include this information in any automated communication sent to customers, i.e. SMS, email, letters, about the registration application during the cancellation window, which can be vital in preventing erroneous transfers.</p>		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
<p>The proposal is to add the D4001 (Organisation ID) Data Item into the T008.0 transaction in CSD 0301 (Data Transaction Catalogue) and in Central Systems.</p> <p>This will make it simpler for Licensed Providers to discuss transfer applications with their customers and make the switching process more transparent. It will enable Licensed Providers to reduce potential customer confusion by increasing awareness around switching and therefore prevent erroneous transfers.</p>		
Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	N	
Transparency	Y	Improves the clarity of the switching process
Simplicity, Cost-effectiveness, and Security	Y	Simplifies the communication around the switching process for Licensed Providers and customers
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	Y	Allows informed contact between the customer and the Outgoing LP, who at the point of receiving the T008.0 remains the relevant Licensed Provider

Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	CSD0301 – addition of D4001 Data Item to T008.0
Wholesale Services Agreements	N	
Licences	N	
CMA Central Systems	Y	As above
CMA business processes	N	
Trading Party systems	Y	As above
Trading party business processes	N	

A.2.d. DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)		
Transaction Number	T008.0	
Transaction Name	Notify RSD (Outgoing LP)	
From	CMA	
To	LP(o)	
DI #	Name	FLAG
D2001	SPID	RQ
D4001	Organisation ID	RQ
D4002	Registration Start Date	RQ
D4019	Transfer Reason Code	RQ
D2049	Prospective Customer	OP
D2050	Prospective Customer Occupancy Date	OP
D4003	Text Comment Field	OP
	Notification from the CMA to a LP of loss of their Registration for a SPID. The Registration Start Date (RSD) shall be the first day that the Outgoing LP ceases	

to be registered to the SPID. The last date of their Registration shall be the day preceding the RSD.

The D4001 Organisation ID should be the Incoming LP

If changes are identified for CSD0301 Data Transaction Catalogue Annex, these will not be provided in this MCCP, but will be provided following the deployment of the associated system updates. This is because the majority of CSD0301 Annex is system generated automatically and can only be updated after associated changes have been incorporated into the relevant system.

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME
Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

September 2023

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

Indicative User Requirements

UR 1 Add D4001 data item to T008.0 transaction. The T008.0 transaction shall be amended include the D4001 (Org ID) of the Licensed Provider that submitted the T003.1 transaction as follows:

Commented [DS1]: After this change the T008.0 will notify the current LP of which LP has requested the SPID transfer

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D4002	Registration Start Date	RQ
D4019	Transfer Reason Code	RQ
D2049	Prospective Customer	OP
D2050	Prospective Customer Occupancy Date	OP
D4003	Text Comment Field	OP
	Notification from the CMA to a LP of loss of their Registration for a SPID. The Registration Start Date (RSD) shall be the first day that the Outgoing LP ceases to be registered to the SPID. The last date of their Registration shall be the day preceding the RSD.	
	The D4001 Organisation ID should be the Incoming LP	

UR 2 XSD Change. The XSD Schema will be updated to include the D4001 (Organisation ID) for the Incoming LP.

UR 3 Update CMA_Message Table. The CMA_Message table for the T008.0 transaction shall have an additional column to record the D4001 of the Incoming LP.

UR 4 Update the T003.1 workflow process. The T003.1 workflow process shall be amended to capture the D4001 of the LP that has submitted the T003.1 and populate the D4001 of the T008.0 transaction with that value.

UR 5 Extend the transaction history report. The Transaction History Report that is available as an Additional Service shall be extended to include the D4001 of the Incoming LP in the section of the report covering any T008.0 transactions.

Commented [DS2]: This will add the D4001 for the outgoing LP to the T008.0 transaction on the HVI and the LVI.

Commented [DS3]: The CMA database tables need to be updated to record the new information in the T008.0 transaction.

Commented [DS4]: To include the D4001 in the T008.0, the CMA needs to capture that from the incoming T003.1 transaction as it is processed.

Commented [DS5]: Any time that transactions are updated the Additional Services transaction history report needs to be updated to align with the new transaction format.

PART B — TP ASSESSMENT			
B.1. ASSESSMENT PROCESS			
B.1.a. ASSESSMENT START DATE	2022-08-18	ASSESSMENT END DATE	2022-12-08
B.1.b. IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED		
B.1.c. CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED		
B.1.d. ASSOCIATED DOCUMENTS (TO THIS PART B)			
B.2. ASSESSMENT DETAILS			
B.2.a. CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)			
B.2.b. CMA INTERNAL SYSTEMS IMPACT			
B.2.c. DRAFT LEGAL TEXT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)			
B.2.d. CUSTOMER IMPACT (TO BE COMPLETED BY LPS)	<p>This change will make it simpler for Licensed Providers to discuss transfer applications with their customers and make the switching process more transparent.</p>		
B.2.e. TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)			
Impact on Principles and Objectives (if different from that originally submitted)			
Cost Estimate	£4.5k (IA + 33%)		
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)			
B.3. TP DECISION	TP APPROVED		
B.4. FINAL TP VIEWS	Unanimously approved by the TP on 08-12-22		
B.5. PLANNED IMPLEMENTATION DATE	September 2023		

WITHDRAWN BY PROPOSER?	NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL	
C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2023-01-15
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2023-03-17
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION	
D.1. IMPLEMENTATION DATE	2023-09-21
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
CSD0301 v29	